## CERTIFICATION OF ENROLLMENT

## SUBSTITUTE SENATE BILL 6358

64th Legislature 2016 Regular Session

Passed by the Senate February 15, 2016 Yeas 48 Nays 0	CERTIFICATE
President of the Senate	I, Hunter G. Goodman, Secretary of the Senate of the State of Washington, do hereby certify that the attached is <b>SUBSTITUTE SENATE BILL 6358</b> as passed by Senate and the House of Representatives on the dates hereon set forth.
Passed by the House March 4, 2016 Yeas 97 Nays 0	
	Secretary
Speaker of the House of Representatives	
Approved	FILED
Governor of the State of Washington	Secretary of State State of Washington

## SUBSTITUTE SENATE BILL 6358

Passed Legislature - 2016 Regular Session

State of Washington 64th Legislature 2016 Regular Session

By Senate Transportation (originally sponsored by Senators King and Hobbs; by request of Department of Transportation)

READ FIRST TIME 02/04/16.

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- AN ACT Relating to rail fixed guideway public transportation 1
- 2 system safety and security oversight, requiring rule making; amending
- 3 81.112.180, 35.21.228, 35A.21.300, 36.01.210, 36.57.120,
- 4 36.57A.170, 81.104.015, and 81.104.115; and declaring an emergency.
- BE IT ENACTED BY THE LEGISLATURE OF THE STATE OF WASHINGTON: 5
- 6 Sec. 1. RCW 81.112.180 and 2007 c 422 s 6 are each amended to 7 read as follows:
- (1) Each regional transit authority that owns or operates a rail 8 fixed quideway public transportation system as defined in RCW
- 10 81.104.015 shall submit a system safety program plan and a system
- 11 security and emergency preparedness plan for that guideway to the
- state department of transportation by September 1, 1999, or at least 12
- 13 one hundred eighty calendar days before beginning operations or
- 14 instituting significant revisions to its plans. These plans must
- 15 describe the authority's procedures for (a) reporting and
- ((reportable accidents, unacceptable hazardous 16 investigating
- 17 conditions, and security breaches)) any reportable incident,
- accident, or security breach and identifying and resolving hazards or 18 security vulnerabilities discovered during planning, design,
- construction, testing, or operations, (b) developing and submitting 20

21 corrective action plans and annual safety and security audit reports,

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1 (c) facilitating on-site safety and security reviews by the state department of transportation and the federal transit administration, 2 and (d) addressing passenger and employee <u>safety and</u> security. The 3 plans must, at a minimum, conform to the standards adopted by the 4 state department of transportation as set forth in the most current 5 6 version of the Washington state rail safety oversight program standard manual as it exists on the effective date of this section, 7 or such subsequent date as may be provided by the department by rule, 8 consistent with the purposes of this section. If required by the 9 10 department, the regional transit authority shall revise its plans to 11 incorporate the department's review comments within sixty days after 12 their receipt, and resubmit its revised plans for review.

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- (2) Each regional transit authority shall implement and comply with its system safety program plan and system security and emergency preparedness plan. The regional transit authority shall perform internal safety and security audits to evaluate its compliance with the plans, and submit its audit schedule to the department of transportation ((no later than December 15th each year)) pursuant to the requirements in the most current version of the Washington state rail safety oversight program standard manual as it exists on the effective date of this section, or such subsequent date as may be provided by the department by rule, consistent with the purposes of this section. The regional transit authority shall prepare an annual report for its internal safety and security audits undertaken in the prior year and submit it to the department no later than February 15th. ((This)) The department shall establish the requirements for the annual report. The contents of the annual report must include, at a minimum, the dates the audits were conducted, the scope of the audit activity, the audit findings and recommendations, the status of any corrective actions taken as a result of the audit activity, and the results of each audit in terms of the adequacy and effectiveness of the plans.
- (3) Each regional transit authority shall notify the department of transportation ((within two hours of an occurrence of a reportable accident, unacceptable hazardous condition, or security breach)), pursuant to the most current version of the Washington state rail safety oversight program standard manual as it exists on the effective date of this section, or such subsequent date as may be provided by the department by rule, consistent with the purposes of this section, any reportable incident, accident, security breach,

1 hazard, or security vulnerability. The department may adopt rules further defining ((a reportable accident, unacceptable hazardous 2 condition, or security breach)) any reportable incident, accident, 3 security breach, hazard, or security vulnerability. The regional 4 transit authority shall investigate ((all reportable accidents, 5 unacceptable hazardous conditions, or security breaches)) any 6 reportable incident, accident, security breach, hazard, or security 7 vulnerability and provide a written investigation report to the 8 department ((within forty-five calendar days after the reportable 9 10 accident, unacceptable hazardous condition, or security breach)) as described in the most current version of the Washington state rail 11 safety oversight program standard manual as it exists on the 12 effective date of this section, or such subsequent date as may be 13 provided by the department by rule, consistent with the purposes of 14 this section. 15

(4) The system security and emergency preparedness plan required in subsection  $(1)((\frac{d}{d}))$  of this section is exempt from public disclosure under chapter 42.56 RCW. However, the system safety program plan as described in this section is not subject to this exemption.

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21 **Sec. 2.** RCW 35.21.228 and 2007 c 422 s 1 are each amended to 22 read as follows:

(1) Each city or town that owns or operates a rail fixed guideway public transportation system as defined in RCW 81.104.015 shall submit a system safety program plan and a system security and emergency preparedness plan for that guideway to the state department of transportation by September 1, 1999, or at least one hundred eighty calendar days before beginning operations or instituting significant revisions to its plans. These plans must describe the city's procedures for (a) reporting and investigating ((reportable accidents, unacceptable hazardous conditions, and security breaches)) any reportable incident, accident, or security breach and identifying and resolving hazards or security vulnerabilities discovered during planning, design, construction, testing, or operations, developing and submitting corrective action plans and annual safety and security audit reports, (c) facilitating on-site safety and security reviews by the state department of transportation and the federal transit administration, and (d) addressing passenger and employee safety and security. The plans must, at a minimum, conform

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to the standards adopted by the state department of transportation <u>as</u> set forth in the most current version of the Washington state rail safety oversight program standard manual as it exists on the effective date of this section, or such subsequent date as may be provided by the department by rule, consistent with the purposes of this section. If required by the department, the city or town shall revise its plans to incorporate the department's review comments within sixty days after their receipt, and resubmit its revised plans for review.

- (2) Each city or town shall implement and comply with its system safety program plan and system security and emergency preparedness plan. The city or town shall perform internal safety and security audits to evaluate its compliance with the plans, and submit its audit schedule to the department of transportation ((no later than December 15th each year)) pursuant to the requirements in the most current version of the Washington state rail safety oversight program standard manual as it exists on the effective date of this section, or such subsequent date as may be provided by the department by rule, consistent with the purposes of this section. The city or town shall prepare an annual report for its internal safety and security audits undertaken in the prior year and submit it to the department no later than February 15th. ((This)) The department shall establish the requirements for the annual report. The contents of the annual report must include, at a minimum, the dates the audits were conducted, the scope of the audit activity, the audit findings and recommendations, the status of any corrective actions taken as a result of the audit activity, and the results of each audit in terms of the adequacy and effectiveness of the plans.
- (3) Each city or town shall notify the department of transportation ((within two hours of an occurrence of a reportable accident, unacceptable hazardous condition, or security breach)), pursuant to the most current version of the Washington state rail safety oversight program standard manual as it exists on the effective date of this section, or such subsequent date as may be provided by the department by rule, consistent with the purposes of this section, any reportable incident, accident, security breach, hazard, or security vulnerability. The department may adopt rules further defining ((a reportable accident, unacceptable hazardous condition, or security breach)) any reportable incident, accident, security breach, hazard, or security vulnerability. The city or town

- 1 shall investigate ((all reportable accidents, unacceptable hazardous conditions, or security breaches)) any reportable incident, accident, 2 security breach, hazard, or security vulnerability and provide a 3 written investigation report to the department ((within forty-five 4 calendar days after the reportable accident, unacceptable hazardous 5 6 condition, or security breach)) as described in the most current version of the Washington state rail safety oversight program 7 standard manual as it exists on the effective date of this section, 8 or such subsequent date as may be provided by the department by rule, 9 10 consistent with the purposes of this section.
  - (4) The system security and emergency preparedness plan required in subsection  $(1)((\frac{d}{d}))$  of this section is exempt from public disclosure under chapter 42.56 RCW. However, the system safety program plan as described in this section is not subject to this exemption.

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- 16 **Sec. 3.** RCW 35A.21.300 and 2007 c 422 s 2 are each amended to 17 read as follows:
- 18 (1) Each code city that owns or operates a rail fixed guideway public transportation system as defined in RCW 81.104.015 shall 19 submit a system safety program plan and a system security and 20 21 emergency preparedness plan for that guideway to the state department 22 of transportation by September 1, 1999, or at least one hundred eighty calendar days before beginning operations or instituting 23 24 significant revisions to its plans. These plans must describe the 25 code city's procedures for (a) reporting and investigating ((reportable accidents, unacceptable hazardous conditions, and 26 27 security breaches)) any reportable incident, accident, or security breach and identifying and resolving hazards or security 28 29 vulnerabilities discovered during planning, design, construction, 30 testing, or operations, (b) developing and submitting corrective 31 action plans and annual safety and security audit reports, (c) facilitating on-site safety and security reviews by the state 32 department of transportation and the federal transit administration, 33 and (d) addressing passenger and employee safety and security. The 34 plans must, at a minimum, conform to the standards adopted by the 35 state department of transportation as set forth in the most current 36 version of the Washington state rail safety oversight program 37 38 standard manual as it exists on the effective date of this section, 39 or such subsequent date as may be provided by the department by rule,

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consistent with the purposes of this section. If required by the department, the code city shall revise its plans to incorporate the department's review comments within sixty days after their receipt, and resubmit its revised plans for review.

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- (2) Each code city shall implement and comply with its system safety program plan and system security and emergency preparedness plan. The code city shall perform internal safety and security audits to evaluate its compliance with the plans, and submit its audit schedule to the department of transportation ((no later than December 15th each year)) pursuant to the requirements in the most current version of the Washington state rail safety oversight program standard manual as it exists on the effective date of this section, or such subsequent date as may be provided by the department by rule, consistent with the purposes of this section. The code city shall prepare an annual report for its internal safety and security audits undertaken in the prior year and submit it to the department no later than February 15th. ((This)) The department shall establish the requirements for the annual report. The contents of the annual report must include, at a minimum, the dates the audits were conducted, the scope of the audit activity, the audit findings and recommendations, the status of any corrective actions taken as a result of the audit activity, and the results of each audit in terms of the adequacy and effectiveness of the plans.
- (3) Each code city shall notify the department of transportation ((within two hours of an occurrence of a reportable accident, unacceptable hazardous condition, or security breach)), pursuant to the most current version of the Washington state rail safety oversight program standard manual as it exists on the effective date of this section, or such subsequent date as may be provided by the department by rule, consistent with the purposes of this section, any reportable incident, accident, security breach, hazard, or security vulnerability. The department may adopt rules further defining ((a reportable accident, unacceptable hazardous condition, or security breach)) any reportable incident, accident, security breach, hazard, or security vulnerability. The code city shall investigate ((all reportable accidents, unacceptable hazardous conditions, or security breaches)) any reportable incident, accident, security breach, hazard, or security vulnerability and provide a written investigation report to the department ((within forty-five calendar days after the reportable accident, unacceptable hazardous condition, or security

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breach)) as described in the most current version of the Washington state rail safety oversight program standard manual as it exists on the effective date of this section, or such subsequent date as may be provided by the department by rule, consistent with the purposes of this section.

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- (4) The system security and emergency preparedness plan required in subsection  $(1)((\frac{d}{d}))$  of this section is exempt from public disclosure under chapter 42.56 RCW. However, the system safety program plan as described in this section is not subject to this exemption.
- 11 **Sec. 4.** RCW 36.01.210 and 2007 c 422 s 3 are each amended to 12 read as follows:
- (1) Each county functioning under chapter 36.56 RCW that owns or 13 operates a rail fixed quideway public transportation system 14 15 defined in RCW 81.104.015 shall submit a system safety program plan 16 and a system security and emergency preparedness plan for that quideway to the state department of transportation by September 1, 17 18 1999, or at least one hundred eighty calendar days before beginning operations or instituting significant revisions to its plans. These 19 20 plans must describe the county's procedures for (a) reporting and investigating ((reportable accidents, unacceptable hazardous 21 22 conditions, and security breaches)) any reportable incident, accident, or security breach and identifying and resolving hazards or 23 security vulnerabilities discovered during planning, design, 24 construction, testing, or operations, (b) developing and submitting 25 corrective action plans and annual safety and security audit reports, 26 27 (c) facilitating on-site safety and security reviews by the state department of transportation and the federal transit administration, 28 and (d) addressing passenger and employee <u>safety and</u> security. The 29 30 plans must, at a minimum, conform to the standards adopted by the 31 state department of transportation as set forth in the most current version of the Washington state rail safety oversight program 32 standard manual as it exists on the effective date of this section, 33 or such subsequent date as may be provided by the department by rule, 34 consistent with the purposes of this section. If required by the 35 department, the county shall revise its plans to incorporate the 36 37 department's review comments within sixty days after their receipt, 38 and resubmit its revised plans for review.

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(2) Each county functioning under chapter 36.56 RCW shall implement and comply with its system safety program plan and system security and emergency preparedness plan. The county shall perform internal safety and security audits to evaluate its compliance with the plans, and submit its audit schedule to the department of transportation ((no later than December 15th each year)) pursuant to the requirements in the most current version of the Washington state rail safety oversight program standard manual as it exists on the effective date of this section, or such subsequent date as may be provided by the department by rule, consistent with the purposes of this section. The county shall prepare an annual report for its internal safety and security audits undertaken in the prior year and submit it to the department no later than February 15th. ((This)) The department shall establish the requirements for the annual report. The contents of the annual report must include, at a minimum, the dates the audits were conducted, the scope of the audit activity, the audit findings and recommendations, the status of any corrective actions taken as a result of the audit activity, and the results of each audit in terms of the adequacy and effectiveness of the plans.

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(3) Each county shall notify the department of transportation ((within two hours of an occurrence of a reportable accident, unacceptable hazardous condition, or security breach)), pursuant to the most current version of the Washington state rail safety oversight program standard manual as it exists on the effective date of this section, or such subsequent date as may be provided by the department by rule, consistent with the purposes of this section, any reportable incident, accident, security breach, hazard, or security vulnerability. The department may adopt rules further defining ((a reportable accident, unacceptable hazardous condition, or security breach)) any reportable incident, accident, security breach, hazard, or security vulnerability. The county shall investigate ((all reportable accidents, unacceptable hazardous conditions, or security breaches)) any reportable incident, accident, security breach, hazard, or security vulnerability and provide a written investigation report to the department ((within forty-five calendar days after the reportable accident, unacceptable hazardous condition, or security breach)) as described in the most current version of the Washington state rail safety oversight program standard manual as it exists on the effective date of this section, or such subsequent date as may be

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provided by the department by rule, consistent with the purposes of this section.

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- (4) The system security and emergency preparedness plan required in subsection  $(1)((\frac{d}{d}))$  of this section is exempt from public disclosure under chapter 42.56 RCW. However, the system safety program plan as described in this section is not subject to this exemption.
- 8 **Sec. 5.** RCW 36.57.120 and 2007 c 422 s 4 are each amended to 9 read as follows:
- 10 (1) Each county transportation authority that owns or operates a 11 rail fixed guideway public transportation system as defined in RCW 81.104.015 shall submit a system safety program plan and a system 12 security and emergency preparedness plan for that guideway to the 13 state department of transportation by September 1, 1999, or at least 14 15 one hundred eighty calendar days before beginning operations or 16 instituting significant revisions to its plans. These plans must describe the county transportation authority's procedures for (a) 17 18 reporting and investigating ((reportable accidents, unacceptable hazardous conditions, and security breaches)) any reportable 19 incident, accident, or security breach and identifying and resolving 20 hazards or security vulnerabilities discovered during planning, 21 22 design, construction, testing, or operations, (b) developing and submitting corrective action plans and annual safety and security 23 24 audit reports, (c) facilitating on-site safety and security reviews 25 by the state department of transportation and the federal transit administration, and (d) addressing passenger and employee safety and 26 27 security. The plans must, at a minimum, conform to the standards 28 adopted by the state department of transportation as set forth in the most current version of the Washington state rail safety oversight 29 program standard manual as it exists on the effective date of this 30 31 section, or such subsequent date as may be provided by the department by rule, consistent with the purposes of this section. If required by 32 the department, the county transportation authority shall revise its 33 plans to incorporate the department's review comments within sixty 34 35 days after their receipt, and resubmit its revised plans for review.
  - (2) Each county transportation authority shall implement and comply with its system safety program plan and system security and emergency preparedness plan. The county transportation authority shall perform internal safety and security audits to evaluate its

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compliance with the plans, and submit its audit schedule to the 1 department of transportation ((no later than December 15th each 2 year)) pursuant to the requirements in the most current version of 3 the Washington state rail safety oversight program standard manual as 4 it exists on the effective date of this section, or such subsequent 5 6 date as may be provided by the department by rule, consistent with the purposes of this section. The county transportation authority 7 shall prepare an annual report for its internal safety and security 8 audits undertaken in the prior year and submit it to the department 9 10 no later than February 15th. ((This)) The department shall establish the requirements for the annual report. The contents of the annual 11 report must include, at a minimum, the dates the audits were 12 conducted, the scope of the audit activity, the audit findings and 13 recommendations, the status of any corrective actions taken as a 14 15 result of the audit activity, and the results of each audit in terms 16 of the adequacy and effectiveness of the plans.

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(3) Each county transportation authority shall notify the department of transportation ((within two hours of an occurrence of a reportable accident, unacceptable hazardous condition, or security breach)), pursuant to the most current version of the Washington state rail safety oversight program standard manual as it exists on the effective date of this section, or such subsequent date as may be provided by the department by rule, consistent with the purposes of this section, any reportable incident, accident, security breach, hazard, or security vulnerability. The department may adopt rules further defining ((a reportable accident, unacceptable hazardous condition, or security breach)) any reportable incident, accident, security breach, hazard, or security vulnerability. The county transportation authority shall investigate ((all reportable accidents, unacceptable hazardous conditions, or security breaches)) any reportable incident, accident, security breach, hazard, or security vulnerability and provide a written investigation report to the department ((within forty-five calendar days after the reportable accident, unacceptable hazardous condition, or security breach)) as described in the most current version of the Washington state rail safety oversight program standard manual as it exists on the effective date of this section, or such subsequent date as may be provided by the department by rule, consistent with the purposes of this section.

(4) The system security and emergency preparedness plan required in subsection  $(1)((\frac{d}{d}))$  of this section is exempt from public disclosure under chapter 42.56 RCW. However, the system safety program plan as described in this section is not subject to this exemption.

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- 6 **Sec. 6.** RCW 36.57A.170 and 2007 c 422 s 5 are each amended to 7 read as follows:
- (1) Each public transportation benefit area that owns or operates 8 9 a rail fixed guideway public transportation system as defined in RCW 81.104.015 shall submit a system safety program plan and a system 10 11 security and emergency preparedness plan for that guideway to the state department of transportation by September 1, 1999, or at least 12 one hundred eighty calendar days before beginning operations or 13 instituting significant revisions to its plans. These plans must 14 15 describe the public transportation benefit area's procedures for (a) 16 reporting and investigating ((reportable accidents, unacceptable hazardous conditions, and security breaches)) any reportable 17 incident, accident, or security breach and identifying and resolving 18 hazards or security vulnerabilities discovered during planning, 19 design, construction, testing, or operations, (b) developing and 20 submitting corrective action plans and annual safety and security 21 audit reports, (c) facilitating on-site safety and security reviews 22 by the state department of transportation and the federal transit 23 24 administration, and (d) addressing passenger and employee safety and security. The plans must, at a minimum, conform to the standards 25 adopted by the state department of transportation as set forth in the 26 27 most current version of the Washington state rail safety oversight program standard manual as it exists on the effective date of this 28 section, or such subsequent date as may be provided by the department 29 30 by rule, consistent with the purposes of this section. If required by 31 the department, the public transportation benefit area shall revise its plans to incorporate the department's review comments within 32 sixty days after their receipt, and resubmit its revised plans for 33 34 review.
  - (2) Each public transportation benefit area shall implement and comply with its system safety program plan and system security and emergency preparedness plan. The public transportation benefit area shall perform internal safety and security audits to evaluate its compliance with the plans, and submit its audit schedule to the

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1 department of transportation ((no later than December 15th each year)) pursuant to the requirements in the most current version of 2 the Washington state rail safety oversight program standard manual as 3 it exists on the effective date of this section, or such subsequent 4 date as may be provided by the department by rule, consistent with 5 6 the purposes of this section. The public transportation benefit area shall prepare an annual report for its internal safety and security 7 audits undertaken in the prior year and submit it to the department 8 no later than February 15th. ((This)) The department shall establish 9 10 the requirements for the annual report. The contents of the annual report must include, at a minimum, the dates the audits were 11 12 conducted, the scope of the audit activity, the audit findings and recommendations, the status of any corrective actions taken as a 13 result of the audit activity, and the results of each audit in terms 14 of the adequacy and effectiveness of the plans. 15

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(3) Each public transportation benefit area shall notify the department of transportation ((within two hours of an occurrence of a reportable accident, unacceptable hazardous condition, or security breach)), pursuant to the most current version of the Washington state rail safety oversight program standard manual as it exists on the effective date of this section, or such subsequent date as may be provided by the department by rule, consistent with the purposes of this section, any reportable incident, accident, security breach, hazard, or security vulnerability. The department may adopt rules further defining ((a reportable accident, unacceptable hazardous condition, or security breach)) any reportable incident, accident, security breach, hazard, or security vulnerability. The public shall investigate ((all reportable transportation benefit area accidents, unacceptable hazardous conditions, or security breaches)) any reportable incident, accident, security breach, hazard, or security vulnerability and provide a written investigation report to the department ((within forty-five calendar days after the reportable accident, unacceptable hazardous condition, or security breach)) as described in the most current version of the Washington state rail safety oversight program standard manual as it exists on the effective date of this section, or such subsequent date as may be provided by the department by rule, consistent with the purposes of this section.

(4) The system security and emergency preparedness plan required in subsection  $(1)((\frac{d}{d}))$  of this section is exempt from public

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- 1 disclosure under chapter 42.56 RCW. However, the system safety
- 2 program plan as described in this section is not subject to this
- 3 exemption.

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4 **Sec. 7.** RCW 81.104.015 and 2009 c 280 s 1 are each amended to read as follows:

Unless the context clearly requires otherwise, the definitions in this section apply throughout this chapter.

- (1) "High capacity transportation corridor area" means a quasimunicipal corporation and independent taxing authority within the meaning of Article VII, section 1 of the state Constitution, and a taxing district within the meaning of Article VII, section 2 of the state Constitution, created by a transit agency governing body.
- (2) "High capacity transportation system" means a system of public transportation services within an urbanized region operating principally on exclusive rights-of-way, and the supporting services and facilities necessary to implement such a system, including interim express services and high occupancy vehicle lanes, which taken as a whole, provides a substantially higher level of passenger capacity, speed, and service frequency than traditional public transportation systems operating principally in general purpose roadways.
- 22 (3) "Rail fixed guideway public transportation system" means a 23 rail fixed guideway system, but does not include a system that is not 24 public transportation, such as seasonal, tourist, or intraterminal 25 service.
  - (4) "Rail fixed guideway system" means a light, heavy, or rapid rail system, monorail, inclined plane, funicular, trolley, or other fixed rail guideway component of a high capacity transportation system that is not regulated by the <u>federal railroad administration</u>, or its successor. "Rail fixed guideway system" does not mean elevators, moving sidewalks or stairs, and vehicles suspended from aerial cables, unless they are an integral component of a station served by a rail fixed guideway system.
  - ((4))) (5) "Regional transit system" means a high capacity transportation system under the jurisdiction of one or more transit agencies except where a regional transit authority created under chapter 81.112 RCW exists, in which case "regional transit system" means the high capacity transportation system under the jurisdiction of a regional transit authority.

- 1 ((<del>(5)</del>)) <u>(6)</u> "Transit agency" means city-owned transit systems, 2 county transportation authorities, metropolitan municipal 3 corporations, and public transportation benefit areas.
- **Sec. 8.** RCW 81.104.115 and 2007 c 422 s 7 are each amended to read as follows:

- (1) The department of transportation is established as the state safety oversight agency. As such, the department is subject to the following conditions:
- 9 <u>(a) The department must be financially and legally independent</u>
  10 <u>from any public transportation agency that the department is obliged</u>
  11 to oversee;
- 12 <u>(b) The department must not directly provide public</u>
  13 <u>transportation services in an area with a rail fixed guideway public</u>
  14 transportation system that the department is obliged to oversee;
  - (c) The department must not employ any individual who is also responsible for administering a rail fixed guideway public transportation system that the department is obliged to oversee; and
  - (d) The department has investigative and enforcement authority with respect to the safety and security of all rail fixed guideway public transportation systems in Washington state. The department shall adopt rules with respect to its investigative and enforcement authority.
  - (2) The department ((may)) shall collect ((and)), audit, review, approve, oversee, and enforce the system safety program plan and the system security and emergency preparedness plan prepared by each owner or operator of a rail fixed guideway public transportation system operating in Washington state. In carrying out this function, the department ((may)) shall adopt rules specifying the elements and standard to be contained in a system safety program plan and a system security and emergency preparedness plan, and the content of any investigation report, corrective action plan, and accompanying implementation schedule resulting from ((a reportable accident, unacceptable hazardous condition, or security breach)) any reportable incident, accident, security breach, hazard, or security vulnerability. These rules ((may)) must include due dates for the department's timely receipt of and response to required documents.
  - $((\frac{(2)}{2}))$  (3) The department, in carrying out the duties in this section, shall compel the rail fixed quideway public transportation systems to comply with state and federal safety and security

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regulations for rail fixed guideway public transportation systems. The department may also impose financial penalties for noncompliance with state or federal regulations, or both, related to state safety and security oversight. Specific financial penalties, if imposed, must be determined by rule. When reportable safety or security deficiencies are identified and not addressed in a timely manner by rail fixed quideway public transportation system owners and operators, the department may require the suspension or modification of service or the suspended use or removal of equipment. The department may impose sanctions upon owners and operators of rail fixed guideway public transportation systems for failure to meet deadlines of submissions of required reports and audits.

(4) The system security and emergency preparedness plan as described in ((subsection (1)(d) of)) RCW 35.21.228(1), 35A.21.300(1), 36.01.210(1), 36.57.120(1), 36.57A.170(1), and 81.112.180(1) is exempt from public disclosure under chapter 42.56 RCW by the department when collected from the owners and operators of rail fixed ((railway)) guideway public transportation systems. However, the system safety program plan as described in RCW 35.21.228, 35A.21.300, 36.01.210, 36.57.120, 36.57A.170, and 81.112.180 is not exempt from public disclosure.

(((3))) (5) The department shall audit each system safety program plan and each system security and emergency preparedness plan at least once every three years. The department may contract with other persons or entities for the performance of duties required by this subsection. The department shall provide at least thirty days' advance notice to the owner or operator of a rail fixed guideway public transportation system before commencing the audit. ((The owner or operator of each rail fixed guideway system shall reimburse the reasonable expenses of the department in carrying out its responsibilities of this subsection within ninety days after receipt of an invoice. The department shall notify the owner or operator of the estimated expenses at least six months in advance of when the department audits the system.

(4))) (6) In the event of ((a reportable accident, unacceptable hazardous condition, or security breach)) any reportable incident, accident, security breach, hazard, or security vulnerability, the department shall review the investigation report, corrective action plan, and accompanying implementation schedule, submitted by the owner or operator of the rail fixed guideway public transportation

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system to ((ensure that it meets the goal of preventing and mitigating)) safeguard against a recurrence of the ((reportable accident, unacceptable hazardous condition, or security breach)) incident, accident, security breach, hazard, or security vulnerability.

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- (a) The department may, at its option, perform a separate, independent investigation of ((a reportable accident, unacceptable hazardous condition, or security breach)) any reportable incident, accident, security breach, hazard, or security vulnerability. The department may contract with other persons or entities for the performance of duties required by this subsection.
- (b) If the department does not concur with the investigation report, corrective action plan, and accompanying implementation schedule, submitted by the owner or operator, the department shall notify that owner or operator in writing within forty-five days of its receipt of the complete investigation report, corrective action plan, and accompanying implementation schedule.
- ((\(\frac{(5)}{)}\)) (7) The secretary may adopt rules to implement this section and RCW 35.21.228, 35A.21.300, 36.01.210, 36.57.120, 36.57A.170, and 81.112.180, including rules establishing procedures and timelines for owners and operators of rail fixed guideway public transportation systems to comply with RCW 35.21.228, 35A.21.300, 36.01.210, 36.57.120, 36.57A.170, and 81.112.180 and the rules adopted under this section. If noncompliance by an owner or operator of a rail fixed guideway public transportation system results in the loss of federal funds to the state of Washington or a political subdivision of the state, the owner or operator is liable to the affected entity or entities for the amount of the lost funds.
- ((6) The department may impose sanctions upon owners and operators of rail fixed guideway systems, but only for failure to meet reasonable deadlines for submission of required reports and audits. The department is expressly prohibited from imposing sanctions for any other purposes, including, but not limited to, differences in format or content of required reports and audits.
- (7)) (8) The department and its employees <u>shall</u> have no liability <u>for any actions taken pursuant to this chapter</u> arising from: The adoption of rules; the review of or concurrence in a system safety program plan and a system security and emergency preparedness plan; the separate, independent investigation of ((a reportable accident, unacceptable hazardous condition, or security breach)) any

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reportable incident, accident, security breach, hazard, or security vulnerability; and the review of or concurrence in a corrective action plan for ((a reportable accident, unacceptable hazardous condition, or security breach.

- (8) The department shall set by rule an annual fee for owners and operators of rail fixed guideway systems to defray the department's direct costs associated only with the system safety program plans, system security and emergency preparedness plans, and incident investigations, as described in this section, and the fee shall not be a flat fee but shall be imposed on each owner and operator in proportion to the effort expended by the department in relation to individual plans. The department shall establish by rule the manner and timing of the collection of the fee)) any reportable incident, accident, security breach, hazard, or security vulnerability.
- 15 (9) At least once every year, the department shall report the
  16 status of the safety and security of each rail fixed guideway public
  17 transportation system to the governor, the federal transit
  18 administration, the board of directors or equivalent entity of the
  19 rail fixed guideway public transportation system, and the
  20 transportation committees of the legislature.
- NEW SECTION. Sec. 9. This act is necessary for the immediate preservation of the public peace, health, or safety, or support of the state government and its existing public institutions, and takes effect immediately.

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